

This Financial Service Guide was prepared on 23/06/08

Note: Racing NSW prepares its Pro Forma documents to assist Promoters and their Authorised Representatives comply with the requirements of their license/registration.

The items listed below are not meant to be exhaustive and Racing NSW does not accept any liability for the contents of FSG', which remain the responsibility of Promoters. The substantive content requirements of an FSG are governed by the Corporations Act, in particular section 942 & 943.

FINANCIAL SERVICES GUIDE

Of

CLANBROOKE

Holder of Australian Financial Services License No. 260214

CLANBROOKE

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1. General

The promotion of horse racing scheme is a financial service regulated by the *Corporations Act* and requires Clanbrooke, as the holder of an Australian Financial Services Licence ("Licence"), to provide you with this Financial Services Guide ("FSG") prior to him promoting an interest in a horse racing scheme to you.

This FSG is intended to inform you of certain basic matters relating to the services that Clanbrooke may provide to you, including the services he is authorised to provide, how you will pay for those services, how Clanbrooke gets remunerated and what to do if you have a complaint. It is intended that this FSG should assist you in determining whether to utilise any of the financial services that Clanbrooke is authorised to provide.

This FSG contains general information only and specific information relating to horse racing schemes promoted by Clanbrooke is contained in the Product Disclosure Statement for each scheme which is available from Clanbrooke upon request.

2. Who will be providing the financial service?

Clanbrooke holds the licence in his own name and provides the financial services referred to in Section 3 of this FSG in his capacity as principal, and not as an authorised representative of any other licensee.

The financial services referred to in Section 3 of this FSG are provided either by Clanbrooke himself or on his behalf by employees or authorised representatives engaged by him in accordance with the *Corporations Act*.

Clanbrooke, as principal, is solely responsible for the financial services that we provides pursuant to his Licence.

AFS licensees are required to have adequate Professional Indemnity insurance or an alternative arrangement approved by ASIC. Clanbrooke obtained Professional Indemnity insurance from Logan Livestock Insurance Agency Pty Ltd on 1st July 2008. The policy incorporates cover for all current and past employees of the promoter associated with this promotion at time of registration.

3. What financial services is Clanbrooke authorised to provide?

Clanbrooke is authorised to issue interests in horse racing schemes. To obtain an interest in a horse racing scheme you need to obtain from Clanbrooke a Product Disclosure Statement in relation to the relevant horse and complete the purchaser's acknowledgement and application.

Clanbrooke is also authorised to provide general advice in relation to horse racing schemes. Clanbrooke is not authorised to provide personal advice (being advice that takes into account a person's objectives, financial situation and needs) in relation to horse racing schemes.

4. How do I pay for financial services provided to me by Clanbrooke

There is no charge for general advice given to you by Clanbrooke relating to the acquisition of an interest in a horse racing scheme.

If you do choose to invest in one of Clanbrooke's horse racing schemes you will be required to pay the purchase price for your relevant interest in that scheme.

The purchase price for an interest varies according to the particular horse the subject of a racing scheme and the number of participants in that scheme. The Product Disclosure Statements for Clanbrooke's relevant syndicated horses contains details of the purchase price.

5. How does Clanbrooke get remunerated for his provision of financial services?

As stated above, Clanbrooke does not charge for giving general advice in relation to horse racing schemes.

In relation to the acquisition of an interest in a horse racing scheme, the purchase price that you pay Clanbrooke represents a proportion (based on the number of participants in the scheme) of the acquisition costs for that horse, the costs of promotion (a breakdown of these costs is contained in the Product Disclosure Statement for each syndicated horse) and in some cases a margin.

6. Is an interest in a horse racing syndicate an investment suitable to my needs and financial circumstances?

As stated in Section 3 of this FSG, Clanbrooke gives general advice only and does not give personal advice that takes into account an investor's objectives, financial situation and needs.

A horse racing syndicate is a speculative investment with its own risks and each investor should obtain their own independent legal advice as to the suitability of such an investment for them.

A copy of the Product Disclosure Statement for each horse racing scheme containing important details about the scheme is available for you upon request and we suggest you study it prior to making any decision to invest.

7. What do I do if I have a complaint about a financial service provided to me?

If you have a complaint about either the advice that Clanbrooke has given you or about an interest in a horse racing scheme that you have acquired from him you should take the following steps:

First – contact Clanbrooke himself and discuss your complaint with him. Clanbrooke has an internal dispute resolution process that he will utilise in an effort to resolve your complaint as quickly as possible.

Second – if your complaint is not resolved to your satisfaction after 5 days of having notified and discussed it with Clanbrooke, you have a right to complain to the Financial Ombudsman's Service (an external industry dispute resolution body of which Clanbrooke is a member) whose details are:

GPO Box 3
Melbourne
Victoria 3001

Ph: 1300 780 808
Fax: (03) 9613 6399
Email: info@fos.org.au
Web: www.fos.org.au